



## DEFORMATIONS OF ASSOCIAHEDRA AND VISIBILITY GRAPHS

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**ABSTRACT.** Given an arbitrary polygon  $P$  with holes, we construct a polytopal complex analogous to the associahedron based on convex diagonalizations of  $P$ . This polytopal complex is shown to be contractible, and a geometric realization is provided based on the theory of secondary polytopes. We then reformulate a combinatorial deformation theory and present an open problem based on visibility which is a close cousin to the Carpenter's Rule theorem of computational geometry.

### 1. INTRODUCTION

The associahedron is a convex polytope whose face poset is based on non-intersecting diagonals of a convex polygon. This polytope and its generalizations continue to appear in a vast number of mathematical fields, including homotopy theory, representation theory, mathematical physics, geometric group theory, and computational biology. The vertices of the associahedron are enumerated by the famous Catalan numbers, corresponding to triangulations of a convex  $n$ -gon, bracketings on  $n - 1$  letters, or the set of rooted binary trees with  $n - 1$  leaves; Stanley offers over a hundred combinatorial and geometric bijections of this famous number [18]. In this paper, given an arbitrary polygon, we construct a polytopal complex analogous to the associahedron based on convex diagonalizations of  $P$ .

There are numerous polytopes which generalize the associahedron, such as those involving cluster algebras [5], graph associahedra [4], Coxeter systems [17], and generalized permutohedra [16]. Most notable to this paper, Orden and Santos [15] construct polytopes with face posets of noncrossing graphs of planar point sets. Our focus on nonconvex polygons is a related one involving constrained edges, resulting not in a polytope but a polytopal complex. Section 2 begins by providing the basic definitions of our construction, yielding a product structure on the facets. Section 3 focuses on two results, one showing the polytopal complex to be contractible, and the other providing a geometric realization based on the theory of secondary

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polytopes. This theory, spearheaded by the work of Gelfand et al. [11], is based on certain classes of triangulations of point sets, yielding numerous connections outside of mathematics [9].

Finally, Section 4 reformulates the combinatorial deformation theory in terms of visibility. This leads to an open problem (with partial solution) which can be viewed as a close cousin to the Carpenter's Rule theorem of computational geometry [6]. Here, instead of convexifying polygons while fixing edge lengths, we ask for convexification without losing internal visibility of vertices.

## 2. ASSOCIAHEDRAL COMPLEX FROM POLYGONS

**2.1.** Let  $P$  be a simple planar polygon with labeled vertices. Unless mentioned otherwise, assume the vertices of  $P$  in general position, with no three collinear vertices. A *diagonal* of  $P$  is a line segment contained in the interior of  $P$  connecting two vertices. A *diagonalization* of  $P$  is a partition of  $P$  into smaller polygons using noncrossing<sup>1</sup> diagonals of  $P$ . Let a *convex diagonalization* of  $P$  be one which divides  $P$  into smaller convex polygons.

**Definition 1.** Let  $\pi(P)$  be the poset of all convex diagonalizations of  $P$  where for  $a \prec a'$  if  $a$  is obtained from  $a'$  by adding new diagonals.

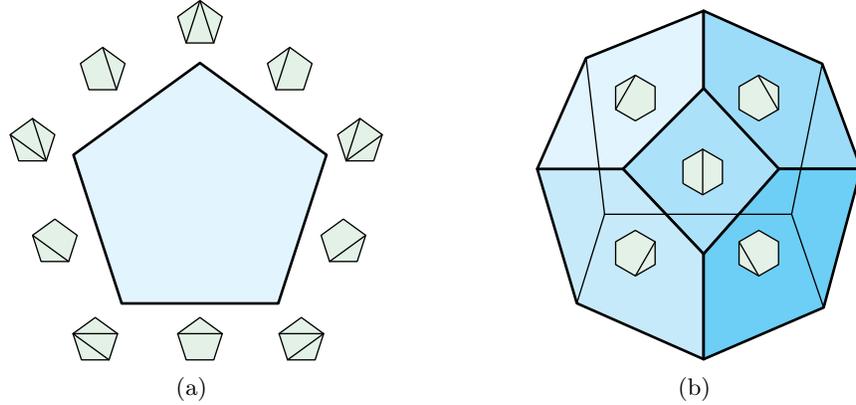
It was independently proven by Lee [12] and Haiman (unpublished) that there exists a convex polytope  $\mathcal{K}_n$  of dim  $n - 3$ , called the *associahedron*, whose face poset is isomorphic to  $\pi(P)$ . Almost twenty years before this result was discovered, the associahedron had originally been defined by Stash-eff for use in homotopy theory in connection with associativity properties of  $H$ -spaces [19]. Figure 1 shows examples of associahedra with labelings of certain faces. Classically, the associahedron is based on all bracketings of  $n - 1$  letters and denoted as  $K_{n-1}$ ; we use the script notation  $\mathcal{K}_n$  with an index shift for ease of notation in our polygonal context.

We now consider extending the associahedron for the case of arbitrary simple polygons  $P$ . A *polytopal complex*  $S$  is a finite collection of convex polytopes (containing all the faces of its polytopes) such that the intersection of any two of its polytopes is a (possibly empty) common face of each of them. The dimension of the complex  $S$ , denoted  $\dim(S)$ , is the largest dimension of a polytope in  $S$ .

**Theorem 2.** *For a polygon  $P$  with  $n$  vertices, there exists a polytopal complex  $\mathcal{K}_P$  whose face poset is isomorphic to  $\pi(P)$ . Moreover,  $\mathcal{K}_P$  is a sub-complex of the associahedron  $\mathcal{K}_n$  of dimension  $n - 3 - d(P)$ , where  $d(P)$  is the minimum number of diagonals required to diagonalize  $P$  into convex polygons.*

*Proof.* Let  $p_1, \dots, p_n$  be the vertices of  $P$  labeled cyclically. For a convex  $n$ -gon  $Q$ , let  $q_1, \dots, q_n$  be its vertices again with clockwise labeling. The

<sup>1</sup>Mention of *diagonals* will henceforth mean noncrossing ones.

FIGURE 1. Associahedra (a)  $\mathcal{K}_5$  and (b)  $\mathcal{K}_6$ .

natural mapping from  $P$  to  $Q$  (taking  $p_i$  to  $q_i$ ) induces an injective map  $\phi : \pi(P) \rightarrow \pi(Q)$ . Assign to  $t \in \pi(P)$  the face of  $\mathcal{K}_n$  that corresponds to  $\phi(t) \in \pi(Q)$ . It is trivial to see that  $\phi(t_1) \prec \phi(t_2)$  in  $\pi(Q)$  if  $t_1 \prec t_2$  in  $\pi(P)$ .

Moreover, for any  $\phi(t)$  in  $\pi(Q)$  and any diagonal  $(q_i, q_j)$  which does not cross the diagonals of  $\phi(t)$ , we see that  $(p_i, p_j)$  does not cross any diagonal of  $t$ . So if a face  $f$  of  $\mathcal{K}_n$  is contained in a face corresponding to  $\phi(t)$ , then there exists a diagonalization  $t' \in \pi(P)$  where  $\phi(t')$  corresponds to  $f$  and  $t' \prec t$ . Since the addition of any noncrossing diagonals to a convex diagonalization is still a convex diagonalization, the intersection of any two faces<sup>2</sup> is also a face in  $\mathcal{K}_P$ . So  $\mathcal{K}_P$  satisfies the requirements of a polytopal complex and (due to the map  $\phi$ ) is a subcomplex of  $\mathcal{K}_n$ .

The dimension of a polytopal complex is defined as the maximum dimension of any face. In the associahedron  $\mathcal{K}_n$ , a face of dimension  $k$  corresponds to a convex diagonalization with  $n - 3 - k$  diagonals. The result follows since  $\phi$  is an injection.  $\square$

**Example.** Figure 2(a) shows the polytopal complex  $\mathcal{K}_P$  for the deformed hexagon  $P$ , made from two line segments glued to opposite vertices of a square. Note how this complex appears as a subcomplex of  $\mathcal{K}_6$  from Figure 1(b). Figure 2(b) displays the labeling of the complex by  $\pi(P)$ , where the number of diagonals in each diagonalization is constant across dimensions of the faces.

*Remark.* In contrast to the convex case, the nonconvex case depends on the detailed geometry of the polygon. Consider the *bow tie* hexagon of Figure 2: if the two indentations were not on the same vertical line, and the bottom indentation could be pushed up to a peak higher than the valley of the top indentation, the resulting complex  $\mathcal{K}_P$  would be entirely different.

<sup>2</sup>Such an intersection could possibly be empty if diagonals are crossing.

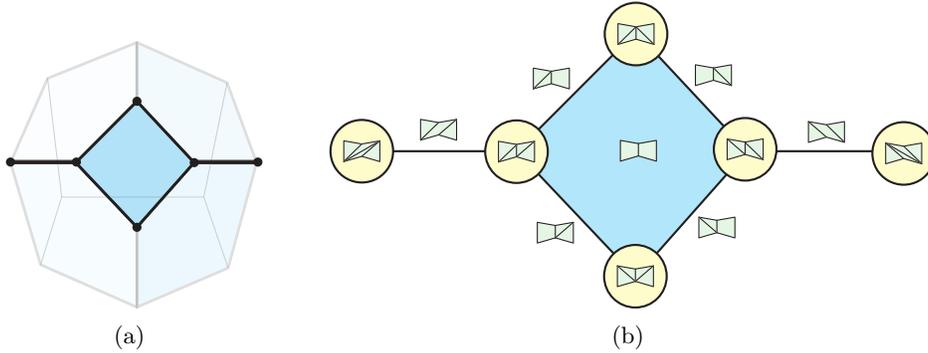


FIGURE 2. A polytopal complex and its labeling.

**2.2.** We begin this section by considering arbitrary (not just convex) diagonalizations of  $P$  and the resulting geometry of  $\mathcal{K}_P$ . Let  $\Delta = \{d_1, \dots, d_k\}$  be a set of noncrossing diagonals of  $P$ , and let  $\mathcal{K}_P(\Delta)$  be the collection of faces in  $\mathcal{K}_P$  corresponding to all diagonalizations of  $P$  containing  $\Delta$ .

**Lemma 3.**  $\mathcal{K}_P(\Delta)$  is a polytopal complex.

*Proof.* If  $t$  is a diagonalization of  $P$  containing  $\Delta$ , then any  $t'$  in  $\pi(P)$  must also contain  $\Delta$  if  $t' \prec t$ . Thus there must be a face in  $\mathcal{K}_P(\Delta)$  that corresponds to  $t'$ . Furthermore, consider faces  $f_1$  and  $f_2$  in  $\mathcal{K}_P(\Delta)$  corresponding to diagonalizations  $t_1$  and  $t_2$  of  $P$ . Then the intersection of  $f_1$  and  $f_2$  must correspond to a diagonalization including  $\Delta$  since  $f_1 \cap f_2$  is the (possibly empty) face corresponding to all convex diagonalizations that include every diagonal of  $t_1$  and  $t_2$ .  $\square$

**Theorem 4.** If diagonals  $\Delta = \{d_1, \dots, d_k\}$  divide  $P$  into (not necessarily convex) polygons  $Q_0, \dots, Q_k$ , then  $\mathcal{K}_P(\Delta)$  is isomorphic to the Cartesian product  $\mathcal{K}_{Q_0} \times \dots \times \mathcal{K}_{Q_k}$ .

*Proof.* We use induction on  $k$ . When  $k = 1$ , any face  $f \in \mathcal{K}_P(d)$  corresponds to a convex diagonalization of  $Q_0$  paired with a convex diagonalization of  $Q_1$ . Thus, a face of  $\mathcal{K}_{Q_0} \times \mathcal{K}_{Q_1}$  exists for each pair of faces  $(f_0, f_1)$ , for  $f_0 \in \mathcal{K}_{Q_0}$  and  $f_1 \in \mathcal{K}_{Q_1}$ . For  $k > 1$ , order the diagonals such that  $d_k$  divides  $P$  into polygons  $Q_* = Q_0 \cup \dots \cup Q_{k-1}$  and  $Q_k$ . A face in  $\mathcal{K}_P(\Delta)$  corresponds to a convex diagonalization  $t_1$  of  $Q_*$  and a convex diagonalization  $t_2$  of  $Q_k$ . The pair  $(t_1, t_2) \in \mathcal{K}_P(\Delta)$  corresponds to a face in  $\mathcal{K}_{Q_*}(\Delta \setminus d_k) \times \mathcal{K}_{Q_k}$ . By the induction hypothesis,  $\mathcal{K}_{Q_*}(\Delta \setminus d_k)$  is isomorphic to  $\mathcal{K}_{Q_0} \times \mathcal{K}_{Q_1} \times \dots \times \mathcal{K}_{Q_{k-1}}$ .  $\square$

*Remark.* This product structure on the faces of  $\mathcal{K}_P$  provides a generalization of the *mosaic operad* related to the real moduli space of curves [7].

For a polytopal complex  $\mathcal{K}_P$ , the *maximal* elements of its face poset  $\pi(P)$  are analogous to facets of convex polytopes. A face  $f$  of  $\mathcal{K}_P$  corresponding to a diagonalization  $t \in \pi(P)$  is a *maximal face* if there does not exist  $t' \in \pi(P)$

such that  $t \prec t'$ . Thus a maximal face of  $\mathcal{K}_P$  has a convex diagonalization of  $P$  using the *minimal* number of diagonals. Figure 3 shows a polygon  $P$  along with six minimal convex diagonalizations of  $P$ . As this shows, such diagonalizations may not necessarily have the same number of diagonals. In other words, the polytopal complex is not *pure*.

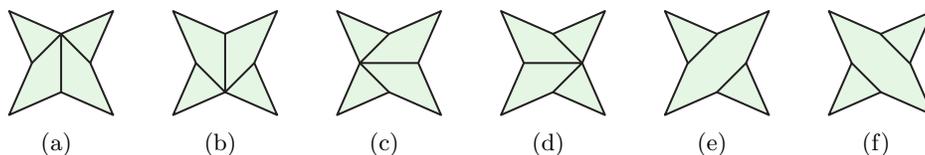


FIGURE 3. Six minimal convex diagonalizations of a polygon.

**Example.** Figure 4 shows an example of  $\mathcal{K}_P$  for the polygon  $P$  from Figure 3. It is a polyhedral subcomplex of the 5-dimensional convex associahedron  $\mathcal{K}_8$ . We see that  $\mathcal{K}_P$  is made of six maximal faces, four squares (where each square is a product  $\mathcal{K}_4 \times \mathcal{K}_4$  of line segments) and two  $\mathcal{K}_6$  associahedra. Each of these six faces correspond to the minimal convex diagonalizations from Figure 3.

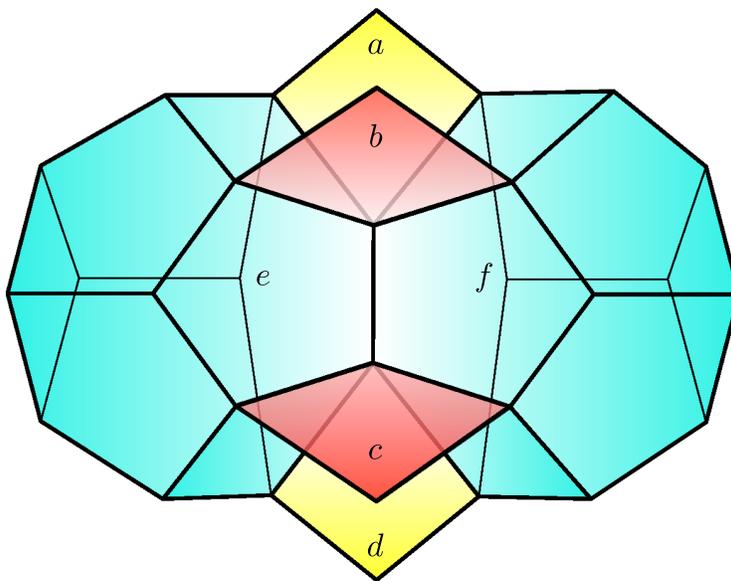


FIGURE 4. The complex  $\mathcal{K}_P$  from the polygon in Figure 3.

### 3. TOPOLOGICAL AND GEOMETRIC PROPERTIES

**3.1.** We now prove the polytopal complex  $\mathcal{K}_P$  is contractible. In 1998, Edelman and Reiner [10] showed a similar result: For a planar point set  $A$  and

an arbitrary planar simplicial complex  $P$  which uses only vertices in  $A$ , they considered the *Baues poset*  $\text{Baues}(P, A)$  and observed that its order complex is contractible. When  $P$  is restricted to be a nonconvex polygon with vertex set  $A$ , contractibility of  $\mathcal{K}_P$  becomes a special case. The technique of their proof is advanced, using a version of *deletion-contraction* from matroid theory along with topological analysis. More recently, Braun and Ehrenborg [3] studied an analogous complex  $\theta(P)$  for nonconvex polygons  $P$ , seen as the combinatorial dual of  $\mathcal{K}_P$ . Their central result showed  $\theta(P)$  to be homeomorphic to a ball, akin to showing  $\mathcal{K}_P$  contractible, based on discrete Morse theory and a pairing lemma of Linusson and Shareshian [13].

Compared to both of these approaches, our proof is much shorter, using simple techniques based solely on the geometry of reflex vertices. A vertex of a polygon is called *reflex* if the diagonal between its two adjacent vertices cannot exist. Note that every nonconvex polygon has a reflex vertex.

**Lemma 5.** *For any reflex vertex  $v$  of a nonconvex polygon  $P$ , every element of  $\pi(P)$  has at least one diagonal incident to  $v$ .*

*Proof.* Assume otherwise and consider an element of  $\pi(P)$ . In this convex diagonalization, since there is no diagonal incident to  $v$ , there exists a unique subpolygon containing  $v$ . Since  $v$  is reflex, this subpolygon cannot be convex, which is a contradiction.  $\square$

**Lemma 6.** *Let  $F = \{f_1, f_2, \dots, f_k\}$  be a set of faces of  $\mathcal{K}_P$  such that  $\bigcap_F f_i$  is nonempty. If  $\bigcap_{F'} f_i$  is contractible for every  $F' \subset F$ , then  $\bigcup_F f_i$  is contractible.*

*Proof.* We prove this by induction on the number of faces in  $F$ . A single face is trivially contractible. Now assume  $\bigcup_F f_i$  is contractible. For a face  $f_{k+1} \notin F$  of  $\mathcal{K}_P$ , let  $G = \{f_{k+1}\} \cup F$  so that  $\bigcap_G f_i$  is nonempty. Since  $f_{k+1}$  intersects the intersection of the face  $F$  and since this intersection is nonempty and contractible, we can deformation retract  $\bigcup_G f_i$  onto  $\bigcup_F f_i$  and hence maintain contractibility.  $\square$

**Theorem 7.** *For any polygon  $P$ , the polytopal complex  $\mathcal{K}_P$  is contractible.*

*Proof.* We prove this by induction on the number of vertices. For the base case, note that  $\mathcal{K}_P$  is a point for any triangle  $P$ . Now let  $P$  be a polygon with  $n$  vertices. If  $P$  is convex, then  $\mathcal{K}_P$  is the associahedron  $\mathcal{K}_n$  and we are done. For  $P$  nonconvex, let  $v$  be a reflex vertex of  $P$ . Since each diagonal  $d$  of  $P$  incident to  $v$  separates  $P$  into two smaller polygons  $Q_1$  and  $Q_2$ , by our hypothesis,  $\mathcal{K}_{Q_1}$  and  $\mathcal{K}_{Q_2}$  are contractible. Theorem 4 shows that  $\mathcal{K}_P(d)$  is isomorphic to  $\mathcal{K}_{Q_1} \times \mathcal{K}_{Q_2}$ , resulting in  $\mathcal{K}_P(d)$  to be contractible.

Let  $\Delta = \{d_1, \dots, d_k\}$  be the set of all diagonals incident to  $v$ . Since  $\Delta$  is a set of *noncrossing* diagonals, then  $\bigcap_{\Delta} \mathcal{K}_P(d_i)$  is nonempty. Furthermore, for any subset  $\Delta' \subset \Delta$ , we have  $\mathcal{K}_P(\Delta') = \bigcap_{\Delta'} \mathcal{K}_P(d)$ . By Theorem 4, this is a product of contractible pieces, and thus itself is contractible. Therefore, by Lemma 6, the union of the complexes  $\mathcal{K}_P(d_i)$  is contractible. However, since Lemma 5 shows that this union is indeed  $\mathcal{K}_P$ , we are done.  $\square$

*Remark.* The previous constructions and arguments can be extended to include planar polygons with holes. These *generalized polygons* are bounded, connected planar regions whose boundary is the disjoint union of simple polygonal loops. We state the following and leave the straightforward proof to the reader.

**Corollary 8.** *For a generalized polygon  $R$  with  $h+1$  boundary components, there exists a polytopal complex  $\mathcal{K}_R$  whose face poset is isomorphic with  $\pi(R)$ . The dimension of  $\mathcal{K}_R$  is  $n+3h-d(R)-3$ , where  $d(R)$  is the minimum number of diagonals required to diagonalize  $R$  into convex polygons. Moreover,  $\mathcal{K}_R$  is contractible.*

Figure 5 shows an example of the associahedron of a pentagon with a triangular hole, whose maximal faces are 8 cubes and 3 squares. Similar to the polygonal case, the complexes  $\mathcal{K}_R$  can be obtained by gluing together different polytopal complexes  $\mathcal{K}_P$ , for various polygons  $P$ . The geometry of  $R$  is crucial for the geometry  $\mathcal{K}_R$ : as the size of the internal triangle increases inside the pentagon, the complex  $\mathcal{K}_R$  will deform as well.

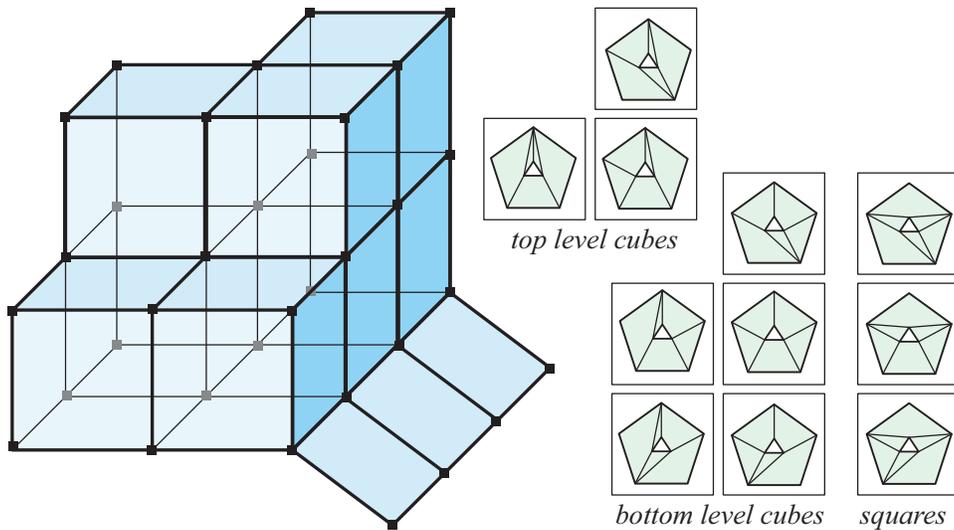


FIGURE 5. The associahedral complex for a pentagon with a triangular hole, along with its 11 maximal faces.

*Remark.* The polytopal complex depends on the detailed geometry of the generalized polygon. If the size of the internal triangle of Figure 5 is substantially increased, the resulting complex  $\mathcal{K}_R$  would be vastly different.

**3.2.** We now turn to geometric realizations of  $\mathcal{K}_P$ , with integer coordinates for its vertices. There are numerous realizations of the classical associahedron and its generalizations, such as those given by Devadoss [8], Loday [14], and Postnikov [16]. For nonconvex polygons, since  $\mathcal{K}_P$  is a subcomplex of

$\mathcal{K}_n$  by Theorem 2, any such realization extends to a realization of  $\mathcal{K}_P$  with integer coordinates. However, since our interests are in the deformations of the underlying polygons in the plane, we turn to a realization based on secondary polytopes which is more tailored for our situation.

Secondary polytopes were developed by Gelfand, Kapranov, and Zelevinsky [11], of which we consider one case: Let  $P$  be a polygon with vertices  $p_1, \dots, p_n$ . For a triangulation  $T$  of  $P$ , let

$$\phi(p_i) = \sum_{p_i \in \Delta \in T} \text{area}(\Delta)$$

be the sum of the areas of all triangles  $\Delta$  which contain the vertex  $p_i$ . Let the *area vector* of  $T$  be

$$\Phi(T) = (\phi(p_1), \dots, \phi(p_n)).$$

The *secondary polytope* of  $\Sigma(P)$  of a polygon  $P$  is the convex hull of the area vectors of all triangulations of  $P$ . In particular, when  $P$  is a convex  $n$ -gon, the secondary polytope  $\Sigma(P)$  is a realization of the associahedron  $\mathcal{K}_n$ .

We show that the secondary polytope of a nonconvex polygon has all its area vectors on its hull, as is the case for a convex polygon. However, since the secondary polytope of a nonconvex polygon is *not* a subcomplex of the secondary polytope for convex polygon, this result is not trivial.

**Theorem 9.** *For any polygon  $P$ , and any triangulation  $T$  of  $P$ , the area vectors  $\Phi(T)$  lie on the hull of  $\Sigma(P)$ .*

*Proof.* Fix a triangulation  $T$  of  $P$ . We first show that there is a height function  $\omega$  on  $P$  which raises the vertices of  $T$  to a locally convex surface in  $\mathbb{R}^3$ , that is, a surface which is convex on every line segment in  $P$ . Choose an edge  $e$  of  $P$  to be its base so that the dual tree of  $T$  is rooted at  $e$ . Starting from the root and moving outward, assign increasing numbers  $m_i$  to each consecutive triangle  $\Delta_i$  in the tree. Define a height function

$$\omega(p_i) = \min\{m_k \mid p_i \in \Delta_k\}$$

for each vertex  $p_i$  of  $P$ . Observe that for every pair of adjacent triangles  $\Delta_1$  and  $\Delta_2$  (in the dual tree), we can choose the value  $m_i$  to be large enough such that the planes containing  $\omega(\Delta_1)$  and  $\omega(\Delta_2)$  are distinct and meet in a convex angle.

In order to show that  $\Phi(T)$  lies on the hull of  $\Sigma(P)$ , we construct a linear function  $\rho(v)$  on  $\Sigma(P)$  such that  $\rho(\Phi(T))$  is a unique minimum of this function on  $\Sigma(P)$ . For any  $v$  in  $\Sigma(P)$ , define  $\rho(v) = \langle \omega(T), v \rangle$  to be the inner product of the vectors  $v \in \mathbb{R}^n$  and

$$\omega(T) = (\omega(p_1), \dots, \omega(p_n)).$$

For a triangle  $\Delta$  of  $T$  with vertices  $p_i, p_j, p_k$ , the volume in  $\mathbb{R}^3$  enclosed between  $\Delta$  and the lifted triangle  $\omega(\Delta)$  can be written as

$$\frac{\omega(p_i) + \omega(p_j) + \omega(p_k)}{3} \text{area}(\Delta).$$

The volume between the surface on which the  $\omega(p_i)$ 's lie and the plane is

$$\begin{aligned} \sum_{\Delta \in T} \frac{\omega(p_i) + \omega(p_j) + \omega(p_k)}{3} \text{area}(\Delta) &= \sum_{i=1}^n \left[ \frac{\omega(p_i)}{3} \sum_{p_i \in \Delta \in T} \text{area}(\Delta) \right] \\ &= \sum_{i=1}^n \frac{\omega(p_i)}{3} \phi(p_i) \\ &= \frac{1}{3} \langle \omega(T), \Phi(T) \rangle. \end{aligned}$$

Since  $\omega$  lifts  $T$  to a locally convex surface  $S$ , we know that  $w$  will lift any  $T' \neq T$  to a surface  $S'$  above  $S$ . Thus  $\langle \omega(T), \Phi(T) \rangle < \langle \omega(T), \Phi(T') \rangle$ , implying all vertices of  $\Sigma(P)$  lie on the hull.  $\square$

**Corollary 10.** *If  $P$  is nonconvex, then a subset of the faces of  $\Sigma(P)$  yield a realization of  $\mathcal{K}_P$ .*

*Proof.* For any face  $f$  of  $\mathcal{K}_P$ , let  $T_1, \dots, T_k$  be the triangulations corresponding to the vertices of  $f$ . We use the same argument as the theorem above to show there exists a height function  $\omega$  such that  $\langle \omega, \Phi(T) \rangle$  is constant for any  $T \in \{T_1, \dots, T_k\}$  and  $\langle \omega, \Phi(T) \rangle < \langle \omega, \Phi(T') \rangle$  for any  $T' \notin \{T_1, \dots, T_k\}$ .  $\square$

#### 4. VISIBILITY GRAPHS

**4.1.** This final section places these polytopal complexes in a larger setting, from the viewpoint of continuous and discrete deformations. As a convex  $n$ -gon is transformed continuously in the plane to an  $n$ -gon with a unique triangulation, its associated polytopal complex goes through a discrete deformation, starting from the associahedron  $\mathcal{K}_n$  polytope and ending at a topological point. Since our objects remain contractible during this process, as given by Theorem 7, the deformation can be considered a *discrete* analog of a deformation retract.

In order to understand the underlying combinatorics, we show that the natural setting for study comes from the notion of *visibility* and a computational geometric perspective. In this section, we only consider simple polygons with vertices labeled  $\{1, \dots, n\}$  in this cyclic order. As before, assume the vertices of  $P$  in general position, with no three collinear vertices. The *visibility graph*  $\mathcal{V}(P)$  of a labeled polygon  $P$  is the labeled graph with the same vertex set as  $P$ , with  $e$  as an edge of  $\mathcal{V}(P)$  if  $e$  is an edge or diagonal of  $P$ . We say two polygons  $P_1$  and  $P_2$  are  *$\mathcal{V}$ -equivalent* if  $\mathcal{V}(P_1) = \mathcal{V}(P_2)$ .

There is a natural relationship between the graph  $\mathcal{V}(P)$  and the polytopal complex  $\mathcal{K}_P$ : if polygons  $P_1$  and  $P_2$  are  $\mathcal{V}$ -equivalent then  $\mathcal{K}_{P_1}$  and  $\mathcal{K}_{P_2}$  yield the same complex. We wish to classify polygons under a stronger relationship than  $\mathcal{V}$ -equivalence. For a polygon  $P$ , let  $(x_i, y_i)$  be the coordinate of its  $i$ -th vertex in  $\mathbb{R}^2$ . We associate a point  $\gamma(P)$  in  $\mathbb{R}^{2n}$  to  $P$  where

$$\gamma(P) = (x_1, y_1, x_2, y_2, \dots, x_n, y_n).$$

Since  $P$  is labeled, it is obvious that  $\gamma$  is injective but not surjective.

**Definition 11.** Two polygons  $P_1$  and  $P_2$  are  $\mathcal{V}$ -isotopic if there exists a continuous map  $f : [0, 1] \rightarrow \mathbb{R}^{2n}$  such that  $f(0) = \gamma(P_1)$ ,  $f(1) = \gamma(P_2)$ , and for every  $t \in [0, 1]$ ,  $f(t) = \gamma(P)$  for some simple polygon  $P$  where  $\mathcal{V}(P) = \mathcal{V}(P_1)$ .

It follows from the definition that two polygons that are  $\mathcal{V}$ -isotopic are  $\mathcal{V}$ -equivalent, whereas the converse is not necessarily true. For a polygon  $P$  with  $n$  vertices, let  $\mathcal{D}(P)$  be the  $\mathcal{V}$ -isotopic equivalence class containing the polygon  $P$  and let  $\mathcal{D}$  be the set of all such equivalence classes of polygons with  $n$  vertices. We give  $\mathcal{D}$  a poset structure: for two  $n$ -gons  $P_1$  and  $P_2$ , the relation  $\mathcal{D}(P_2) \prec \mathcal{D}(P_1)$  is given if the following two conditions hold:

- (1)  $\mathcal{V}(P_1)$  is obtained by adding one more edge to  $\mathcal{V}(P_2)$ .
- (2) There exists a continuous map  $f : [0, 1] \rightarrow \mathbb{R}^{2n}$ , such that  $f(0) = \gamma(P_1)$ ,  $f(1) = \gamma(P_2)$ , and for every  $t \in [0, 1/2)$ ,  $f(t) = \gamma(P)$  for some polygon  $P$  with  $\mathcal{V}(P) = \mathcal{V}(P_1)$ , while for every  $t \in (1/2, 1]$ ,  $f(t) = \gamma(Q)$  for some polygon  $Q$  with  $\mathcal{V}(Q) = \mathcal{V}(P_2)$ .

If  $P_1$  and  $P_2$  are  $\mathcal{V}$ -isotopic, let  $\mathcal{D}(P_1) = \mathcal{D}(P_2)$ . Taking the transitive closure of  $\preceq$  yields the *deformation poset*  $\mathcal{D}$ . A natural ranking exists on  $\mathcal{D}$  based on the number of edges of the visibility graphs.

**Example.** The top image of Figure 6 shows a subdiagram of the Hasse diagram for  $\mathcal{D}$  for 6-gons, where we have forgone the labeling on the vertices. A polygonal representative for each equivalence class is drawn along with its underlying visibility graph. Each element of  $\mathcal{D}$  corresponds to a polytopal complex  $\mathcal{K}_P$  as displayed in the bottom image. Notice that as the polygon deforms and loses visibility edges, its associated complex collapses into a vertex of  $\mathcal{K}_6$ .

**4.2.** It is easy to see that the deformation poset  $\mathcal{D}$  is connected: notice that  $\mathcal{D}$  has a unique *maximum* element corresponding to the convex polygon. Given any polygon  $P$  in the plane, one can move its vertices, deforming  $P$  into convex position, making each element of  $\mathcal{D}$  connected to the maximum element. Since the vertices of  $P$  are in general position, we can insure that the visibility graph of  $P$  changes only one diagonal at a time during the deformation. However, the visibility graph of the deforming polygon might gain and lose edges, moving up and down the poset  $\mathcal{D}$ .

We are interested in the combinatorial structure of the deformation poset beyond connectivity. The maximum element of  $\mathcal{D}$  corresponds to the convex  $n$ -gon (with  $\binom{n}{2}$  edges in its visibility graph) whereas the minimal elements (which are not unique in  $\mathcal{D}$ ) correspond to polygons with unique triangulations (with  $2n - 3$  edges in each of their visibility graphs). This implies that the height of the deformation poset is  $\binom{n}{2} - 2n + 4$ . We pose the following problem and close this paper with a discussion of partial results.

**Visibility Deformation Problem.** *Show that every maximal chain of  $\mathcal{D}$  has length  $\binom{n}{2} - 2n + 4$ .*

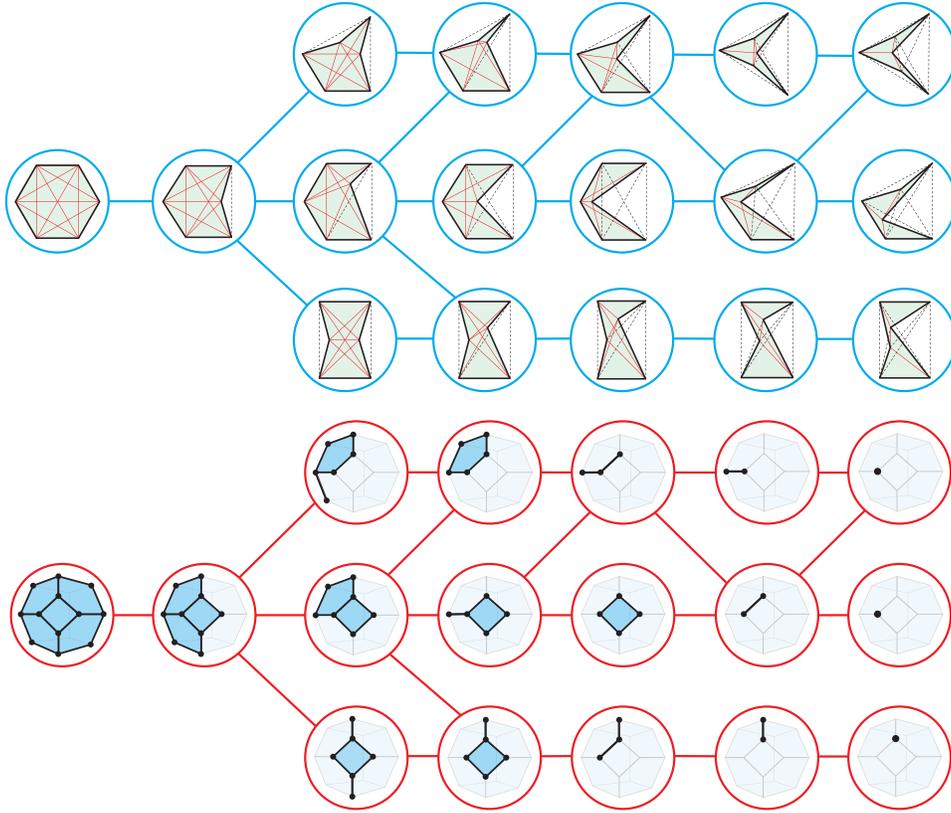


FIGURE 6. A subdiagram of the Hasse diagram of  $\mathcal{D}$  for 6-gons along with the corresponding subcomplexes of  $\mathcal{K}_6$ .

More loosely, does there exist a deformation of any simple polygon into a polygon with a unique triangulation such that throughout the deformation, the visibility of the polygon monotonically decreases? And moreover, does there exist a deformation of any simple polygon into a convex polygon such that throughout the deformation, the visibility of the polygon monotonically increases? This latter question was recently given a positive answer in [1] based on a novel idea of *visibility-increasing* edges. Indeed, this can be viewed as a close cousin to the Carpenter's Rule theorem [6], but instead of convexifying polygons with fixed edge lengths, we ask for convexification without losing internal visibility of vertices.

We close with a result which holds for *star-shaped* polygons. A polygon  $P$  is star-shaped if there exists a point  $p \in P$  such that  $p$  is visible to all points of  $P$ .

**Theorem 12.** *Let  $P$  be a star-shaped polygon. There exists a chain in  $\mathcal{D}$  from  $P$  to the maximum element.*

*Proof.* Let  $x$  be a point in the *kernel* of  $P$ , the set of points which are visible to all points of  $P$ . Choose an  $\varepsilon$ -neighborhood around  $x$  contained in the

kernel. For any  $a \in P$ , let  $p(a)$  be a point on the boundary of  $P$  which is the intersection of the ray from  $x$  passing through  $a$  with the boundary. Let  $a'$  be the point on the ray from  $x$  passing through  $a$  such that  $d(a', x) = \varepsilon \cdot r(a)$ , where

$$r(a) = \frac{d(a, x)}{d(p(a), x)}.$$

Let  $\phi$  be the map from  $a$  to  $a'$ . We thus construct a linear map  $f : P \times [0, 1] \rightarrow P$  where  $f(P, 0) = P$  and  $f(P, 1) = \phi(P)$  and where

$$\frac{\partial}{\partial t} f(a, t) = r(a).$$

For any two visible vertices  $a$  and  $b$  of  $P$ , consider the triangle  $abx$ . There cannot be any vertices of  $P$  contained in the triangle. If for any vertex  $c$  of  $P$ , the ray from  $x$  passing through  $c$  intersects the line segment  $ab$  at a point  $z$ , then  $d(c, x) > d(z, x)$  and thus for no  $t \in [0, 1]$  can  $d(f(c, t), x) \leq d(f(z, t), x)$ . So no visibility is lost during the transformation, but notice that  $\phi(P)$  is a circle. However, if we apply  $f(a, t)$  only to the vertices of  $P$  and map any point  $z$  on an edge  $(a, b)$  of  $P$  to  $z'$  on the edge between  $f(a, t)$  and  $f(b, t)$ , we find that we get a polygon at every  $t$ . Moreover, the edge is always further from  $c$  than  $f(z, t)$  for every  $t \in [0, 1]$ , and thus visibility is still maintained.  $\square$

A natural approach is to discretize this problem into moving vertices of the polygon one by one. In other words, for any polygon, does there exist *one vertex* which can be moved that increases visibility? Based on this work, Aichholzer et al. [2] have recently provided an elegant counterexample to this claim, seen in Figure 7. A partial collection of the visibility edges of

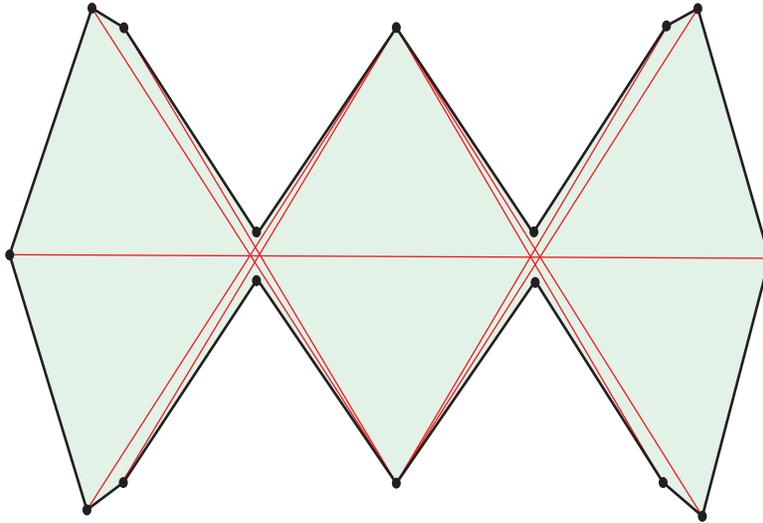


FIGURE 7. No vertex may be moved to increase visibility.

this polygon is given in red. No vertex of this polygon may be moved which strictly increases visibility.

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